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Attorney Docket No. SSL0099

10/518652

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Corresponding to International  
Application No.: PCT/FR03/01813

Applicants: BARONI, Marco, BOURRIE, Bernard,  
CASELLAS, Pierre

International Filing Date: 16 June 2003

For: PHENYL- AND PYRIDYL-  
DIAZAHETEROCYCLES HAVING A TNF-  
MODULATING ACTIVITY

CERTIFICATE UNDER 37 C.F.R. 1.10

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Dear Sir:

CITATION OF REFERENCES

Enclosed herewith on an Information Disclosure Statement by Applicant (Form PTO-1449) is a list of references for consideration by the Examiner.

This Information Disclosure Statement is being filed concurrently with the above-identified application, and, consequently, no fee or statement pursuant to 37 C.F.R. §1.97(c) or (d) is required. Furthermore, with the exception of U.S. Patent No. 6,509,351, U.S. Patent No. 5,981,754, and U.S. Patent Application Publication No. 2003/119840, copies of the references are not being provided pursuant to 37 C.F.R. §1.98(a)(2), as copies of these references will be provided to the United States Patent and Trademark Office (USPTO) by the International Bureau. If for any reason the International Bureau does not provide the USPTO with copies of these references, it is requested that Applicants' undersigned representative be so notified, and appropriate means will then be taken to furnish the reference copies.

It is noted for the Examiner's convenience that U.S. Patent No. 6,509,351 is an English language patent family member of WO 01/29026; U. S. Patent No. 5,981,754 is an English language patent family member of WO 01/55150; and U.S. Patent Application Publication No. 2003/119840 is an English language patent family member of FR2736053.

Date: December 16, 2004

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| Form PTO-1449  | U.S. Department of Commerce<br>Patent and Trademark Office | ATTY. DOCKET NO.<br>SSL0099 | SERIAL NO.<br><b>10/518652</b> |
| <b>INFORMATION DISCLOSURE STATEMENT<br/>BY APPLICANT</b><br><br><i>(Use several sheets if necessary)</i> |  | APPLICANT:<br>BARONI et al. |                                |
|  |  | FILING DATE                 | GROUP                          |

## U.S. PATENT DOCUMENTS

| Examiner Initial | Document Number | Date     | Name            | Class | Subclass | Filing Date If Appropriate |
|------------------|-----------------|----------|-----------------|-------|----------|----------------------------|
|                  | 4,544,657       | 10/01/85 | Bruderer et al. |       |          |                            |
|                  | 6,509,351       | 07/08/03 | Huber, Andreas  |       |          |                            |
|                  | 5,981,754       | 11/09/99 | Badone et al.   |       |          |                            |
|                  | 2003119840      | 06/26/03 | Galli et al.    |       |          |                            |
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## FOREIGN PATENT DOCUMENTS

|  | Document Number | Date     | Country | Class | Subclass | Translation |    |
|--|-----------------|----------|---------|-------|----------|-------------|----|
|  |                 |          |         |       |          | Yes         | No |
|  | WO 01/29026     | 04/26/01 | PCT     |       |          |             | X  |
|  | FR 2736053      | 01/03/97 | France  |       |          |             | X  |
|  | WO 01/55150     | 08/02/01 | PCT     |       |          |             | X  |
|  |                 |          |         |       |          |             |    |
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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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|   | Barlocco et al., Journal of Medicinal Chemistry, vol. 41, no. 5, pp. 674-681 (1998) |
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| EXAMINER  | DATE CONSIDERED   |
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